



OBT AUDITREADY

AI-powered document diagnosis: ISO, ENS and EU regulation

Product sheet · Commercial & executive brief

Version 2.2 · July 2026

Confidential document — Ortega Technologies (OB Technologies)

From consultant days... to minutes — and defensible before any auditor.

AuditReady sweeps the client's document repository read-only, checks it against the documented-information checklist of the chosen framework — eleven frameworks: ISO 9001 (with 2026 in preview), 27001, 14001:2026, 13485, 45001, 22301, 42001, Spanish ENS, the AI Act, the CRA and NIS2 — and delivers a readiness report with a score, a per-clause status board, dated evidence and documentary-control findings. Under the consultancy's own brand. Your data never leaves Europe.

Contents

1 · What is OBT AuditReady	3
2 · The catalogue: eleven compliance frameworks	4
3 · How it works	5
4 · The readiness report	6
5 · White label: the consultancy programme.....	8
6 · The assistant: the report, queryable in the ChatBot	9
7 · Continuous monitoring	10
8 · Pricing	11
9 · Architecture, security and differentiators	12

1 · What is OBT AuditReady

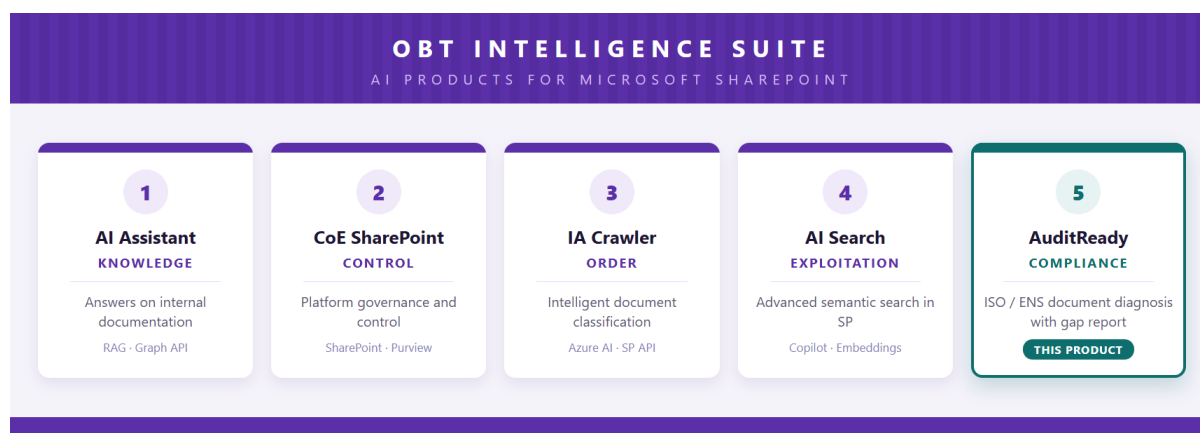
OBT AuditReady is the document-diagnosis tool for certifications in the OBT Intelligence Suite. It sweeps an organisation's documentation —file server, SharePoint, OneDrive, Teams—, classifies it and checks it against the documented-information requirements of the chosen standard. The result is an audit readiness report: which documents the standard requires and exist, which are missing, which have expired, and which documentary-control findings (obsolete versions in use, uncontrolled copies) would put the audit at risk.

It shares its engine with OBT IA Crawler —a platform in production with AI classification and EU data residency— and adds the compliance layer on top: standard packs, deterministic gap analysis and a white-label report.

The problem it solves

- **Every audit preparation starts the same way:** days digging through folders to find out what documentation exists and in what state.
- **The most frequent nonconformities are documentary:** obsolete document in use (7.5), mandatory records nowhere to be found, uncontrolled copies in personal OneDrives.
- **Manual diagnosis does not scale:** 3–5 consultant days per client (€1,050–2,500 equivalent cost) with no guarantee of covering 100% of the repository.
- **The snapshot expires quickly:** between one audit and the next surveillance audit, documentation drifts with nobody watching.

Fit in the suite



OBT Intelligence Suite — AuditReady is the compliance layer: it turns sweeping and classification into a certification diagnosis.

2 · The catalogue: eleven compliance frameworks

Each framework is a versioned data pack on the same engine: documented-information checklist, clause map, document types and freshness rules. Publishing a new framework touches no code — and standard revisions (9001:2026, 14001:2026) ship with a **between-editions transition diagnosis**: what the new edition requires that you do not yet have.

Pack	Status	What it preconfigures
ISO 9001:2015 (+2026)	Published · 2026 preview	Quality: full checklist, 7.5 findings. The upcoming ISO 9001:2026 included in preview (FDIS final draft) with a 2015→2026 transition diagnosis.
ISO/IEC 27001:2022	Published	Information security: clauses + documentary Annex A; reuses the engine's risk map (PII, oversharing, orphaned files) as control evidence.
ISO 14001:2026 (and 2015)	Published · TRANSITION	Environmental: biodiversity, climate, life cycle and the new clause 6.3. 2015→2026 transition diagnosis (every certificate migrates before Apr-2029).
ISO 13485:2016	Published	Medical devices: Medical Device File, ISO 14971 risk management, traceability, vigilance and CAPA.
ISO 45001:2018	Published	Occupational health & safety: risk assessment, emergencies, OH&S committee; Spanish LPRL extras.
ISO 22301:2019	Published · NEW	Business continuity: BIA, risk assessment, strategies, plans and exercise programme. The continuity leg of the NIS2 story.
ISO/IEC 42001:2023	Published · NEW	AI management: policy, risks and impacts (6.1.4), life cycle, data and suppliers. The certifiable system that closes the gap the AI Act reveals.
ENS · RD 311/2022	Published	Spain's public sector: policy, categorisation (Annex I), statement of applicability (Annex II), incidents, backups and biennial audit.
AI Act · Reg. 2024/1689	Published	EU AI Act (deployer): inventory, prohibited practices (Art. 5), Art. 50 transparency (2-Aug-2026) and 2027 high-risk preparation.
CRA · Reg. 2024/2847	Published · NEW	Cyber Resilience Act (manufacturer): Annex VII file, risk assessment, SBOM, coordinated disclosure and 24h/72h reporting (11-Sep-2026). With a scope sheet: SBOM and the 24h flow are process, not just documents.
NIS2 · Dir. 2022/2555	Published · NEW	Cybersecurity: the 10 domains of Art. 21, management-body accountability (Art. 20) and reporting (Art. 23). Spanish transposition pending: be ready the day it lands.

Why a catalogue like this matters to the channel

The same consultancy usually handles 9001 + 14001 + 45001 and, increasingly, 27001/NIS2 plus the AI wave (AI Act → 42001). A single sweep of the repository serves several frameworks at once: the second diagnosis for the same client is almost free. Roadmap: ISO 45001:2027 (as soon as it is published) and ISO 50001.

3 · How it works

Design principle: read-only, always

The sweep never modifies, moves or deletes a client document. AuditReady observes and diagnoses; corrections are decided and executed by the client or their consultancy.

Step	What it does
1 · Sweep	Traverses the file server and/or Microsoft 365 (SharePoint, OneDrive, Teams) read-only. Resumable and idempotent.
2 · Classification	Two modes per diagnosis: LOCAL DETERMINISTIC (default — native text extracted on-device and, optionally, LOCAL OCR for scans: on the machine itself or self-hosted in the client network — nothing leaves the tenant) or AI IN THE EU (opt-in — Mistral OCR + fine document typing, no training on your data). AI adds candidates; the status board is always set by the deterministic engine.
3 · Clause map	Each document is tagged with the clause(s) it answers to (operating procedure → 8.5.1; management review minutes → 9.3).
4 · Gap analysis	Cross-check against the standard's checklist: found (where, which version, when) / at risk (expired) / missing. Deterministic engine: the same repository always yields the same result.
5 · Report	Executive PDF with score and per-clause status board + detailed Excel + prioritised documentary-control findings. Under the consultancy's brand.
6 · Publish to the Hub	Optional: --publish-hub sends the summary to the OBT Knowledge Hub so the client's ChatBot answers citing the report (section 6).

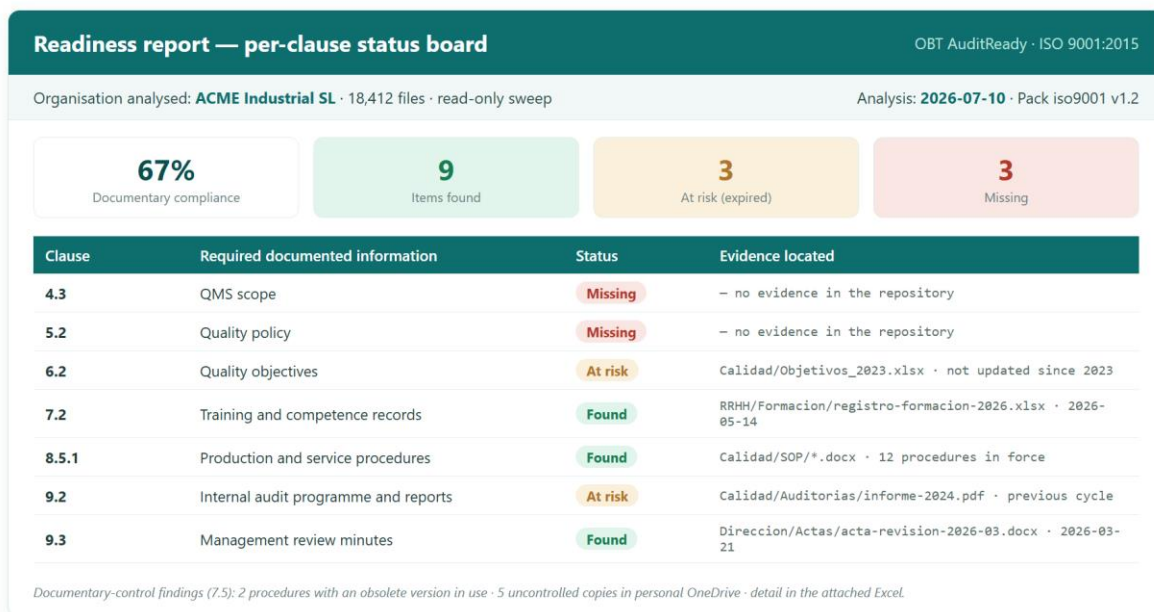
Deterministic and defensible

The gap analysis does not depend on LLM randomness: same files, same result, every time.

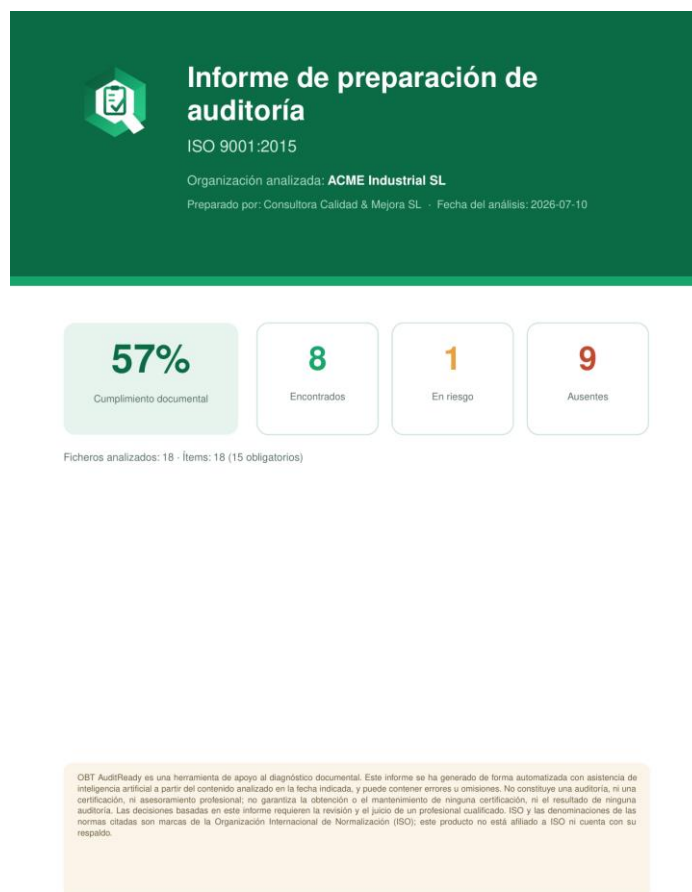
That makes it **defensible before an auditor** and comparable across re-scans: if the score drops, it is because the documentation changed — not because the AI "felt different".

4 · The readiness report

In minutes, the consultancy gets what today costs days: a documentary compliance score, a per-clause status board with the located evidence (path, version, date), and the documentary-control findings an auditor would flag.



Per-clause status board: every mandatory item with its status and the exact evidence (path + date) or its absence.



Consultora Calidad & Mejora SL — ISO 9001:2015 · Página 1/5

Cover of the real PDF report (white label, Spanish edition shown): score, counters and legal disclaimer — generated automatically.

What every diagnosis includes

- **Executive PDF** with KPI cover, per-clause status board and prioritised findings — ready to hand to the end client.
- **Detailed Excel** with every checklist item, evidence, date and suggested owner.
- **Structured JSON** for integrations (and for publishing to the Knowledge Hub).

5 · White label: the consultancy programme

The channel is the heart of the model: AuditReady is sold as the engine that multiplies a management-systems consultancy's capacity — not as its competitor.

- **White label:** the report carries the consultancy's logo. Their client sees their brand; AuditReady is their engine.
- **Multi-client:** consultancy licence with projects per end client; desktop application, no infrastructure to maintain.
- **The gap report is their sales tool:** a data-backed diagnosis to quote the implementation to their client.
- **Natural recurrence:** re-diagnosis before every surveillance audit — the 3-year certification cycle becomes annual revenue per retained client.
- **Wholesale pricing and partner training included.**

We do not compete with partners

Direct end-company leads are routed to the channel: the Company plan carries a –50% discount when the implementation is contracted with a programme partner. The end-client price is always set by the consultancy.

6 · The assistant: the report, queryable in the ChatBot

AuditReady publishes the report summary to the **OBT Knowledge Hub** (--publish-hub). From then on, the client's ChatBot —the suite's AI Assistant— answers business questions citing the report: no opening the PDF, no digging through folders.

The report, queryable in the client's ChatBot

OBT Knowledge Hub

Are we ready to get certified in ISO 9001? What's the first thing missing?

Not yet. Documentary compliance stands at **67%** (9 of 15 mandatory items located). First gaps: **1)** Quality policy (clause 5.2) · **2)** QMS scope (4.3) · **3)** Updated quality objectives (6.2, latest version dates from 2023). There are also 2 procedures with an obsolete version in use that an auditor would flag as a documentary-control nonconformity (7.5).

Source: AuditReady — ISO 9001:2015 readiness report · ACME Industrial SL · 2026-07-10

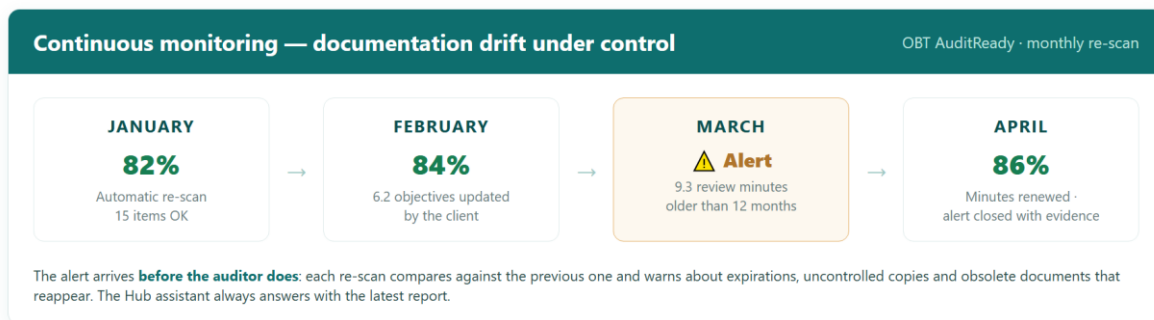
Active source in the assistant: AuditReady · gap-iso9001 published with --publish-hub · the report expires according to the monitoring plan in place

Real demo (Jul-2026): the assistant answers "are we ready to get certified?" citing the AuditReady report published to the Hub.

- **Exclusive, cited source:** answers always carry the reference to the report and its analysis date.
- **Honest expiry (TTL):** a one-shot diagnosis expires in the Hub after 90 days; with continuous monitoring, the assistant always answers with the latest re-scan.
- **We charge for continuity, not for the connection:** publishing to the Hub is included; what costs money is keeping the data fresh every month.

7 · Continuous monitoring

Between audits, documentation drifts: minutes expire, uncontrolled copies reappear, objectives go stale. Continuous monitoring re-scans every month and warns before the auditor finds out.



Monthly re-scan: documentation drift is detected and fixed months before the surveillance audit.

- **Actionable alerts:** which item, which clause, which evidence is missing or expired, and since when.
- **Comparable score:** deterministic engine — score evolution reflects real changes in the documentation.
- **For the partner:** monitoring for up to 10 clients included in the Partner plan; for end companies, €149/month.

8 · Pricing

Channel (consultancies) — the heart of the model

Plan	Price	Includes
Consultant	€249/month · €2,490/year	4 diagnoses/month · 9001 + 27001 packs · white label · support. Extra diagnosis: €79.
Partner	€590/month · €5,900/year	20 diagnoses/month · all published packs · wholesale –25% for resale · continuous monitoring for 10 clients · training.
Additional standard pack	€290 one-time	Per consultancy (Consultant: 9001+27001 included; Partner: all included). Available: 14001, 13485, 45001, ENS.

Direct (no consultancy)

Plan	Price	Includes
Single diagnosis	€390	1 source, up to 25,000 documents, 1 standard, full report.
End company	€990	Diagnosis + results workshop (2 h). –50% when the implementation is contracted with a programme partner.
Continuous monitoring	€149/month	Monthly re-scan + documentation-drift alerts (end company).

The value reference

The equivalent manual diagnosis takes 3–5 consultant days (€350–500/day): €1,050–2,500. AuditReady does it in hours for €79–390. Fair use: one diagnosis covers up to 25,000 documents / 1 TB. Prices excl. VAT, 2026 launch.

9 · Architecture, security and differentiators

Architecture in one line

Desktop application + CLI (Node/TypeScript) that runs the analysis on the consultant's machine or inside the client's network. Shares the sweeping and classification engine with OBT IA Crawler (in production). Two modes per diagnosis: local deterministic (default, no external providers) and AI in the EU (Mistral, France — opt-in). Standard packs are versioned data, not code. Licensing via the common OBTech service with cryptographically signed responses.

Security and data residency

- **Read-only:** the sweep never modifies, moves or deletes a document.
- **Local deterministic mode (default):** nothing leaves the client's tenant — no external providers, no sub-processors. With optional local OCR (on-device, offline, or self-hosted in the client network) to read scans without any cloud. The guarantee for 27001, ENS and regulated industries.
- **AI-in-the-EU mode (opt-in):** Mistral (France) as the only sub-processor for OCR and fine typing, with no training on client data — data never leaves Europe.
- **Coverage honesty:** the report declares what was content-analysed and with which engine (native text, local OCR, EU OCR); noisy local-OCR output is discarded and declared — never a false signal on the status board.
- **No content telemetry:** the licence validates usage, not documents.

Differentiators

- **Deterministic and reproducible:** same repository, same result — defensible before any auditor (generic LLM checkers cannot say this).
- **Eleven frameworks out of the box — ISO, ENS and EU regulation (AI Act, CRA, NIS2):** nobody else covers them with the same engine and the same report; the catalogue grows as data, not versions.
- **Between-editions transition diagnosis:** 9001:2026 and 14001:2026 tell you exactly what the new edition requires that is missing today — the re-diagnosis of the entire certified base.
- **Real white label:** the product is designed for the channel, not against it.
- **The report talks:** native integration with the suite's ChatBot via the Knowledge Hub — the diagnosis is queryable in natural language.
- **Negligible COGS:** engine with a triage funnel that resolves ~88% without AI — >96% margin on every plan.

Important notice

OBT AuditReady is a support tool for documentary diagnosis. It does not issue certifications, does not guarantee the outcome of any audit and does not replace the professional judgement of the consultant or auditor. ISO and the standard designations are trademarks of the International Organization for Standardization; OBT AuditReady is not affiliated with or endorsed by ISO. The analysis is generated with AI assistance and requires professional review.

OBT AuditReady — OBT Intelligence Suite

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